

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

**1. Date of Submission:** 2010-03-18 15:55:28

**2. Agency:** 011

**3. Bureau:** 10

**4. Name of this Investment:** FBI Data Integration and Visualization System (DIVS)

**5. Unique Project (Investment) Identifier:** 011-10-01-02-01-3372-00

**6. What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

**7. What was the first budget year this investment was submitted to OMB? \***

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The FBI DIVS program stores and presents for analysis the FBI's intercepted electronic surveillance (ELSUR) data authorized for collection under the Foreign Intelligence Surveillance Act (FISA) and electronic data obtained from seized or captured digital media. DIVS analytical software applications enable users to view, search, and establish relationships among stored entities. The DME portion of this investment involves the integration of two legacy applications (Data Loading and Analysis System or DaLAS and Data Warehouse System-ELSUR Data Management System or DWS-EDMS) into a single application using a federated search to review and analyze stored electronic data. DIVS addresses the FBI strategic objectives to "protect US from terrorist and foreign intelligence activity" while also "preserving civil liberties." The integration action is aimed at "Information Dissemination and Integration" to improve FBI terrorist data analysis capabilities and efficiencies. The resulting system will enable agents, analysts, and linguists to analyze data obtained by different methods using a single tool set, thus reducing training requirements as well as enable more efficient and effective analysis of all stored data holdings.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

**9. Did the Agency's Executive/Investment Committee approve this request? \***

- a. If "yes," what was the date of this approval? \***

**10. Contact information of Program/Project Manager?**

- **Name:** \*
- **Phone Number:** \*
- **Email:** \*

**11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this

investment.

- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. **If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one):** \*

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
Classified	Cost-Plus-Fixed-Fee	Y	2008-03-17	2008-04-01	2013-03-15	\$33.7	*	*	*	*	*
Classified	Cost-Plus-Fixed-Fee	Y	2008-08-21	2008-11-21	2013-11-20	\$13.2	*	*	*	*	*
Classified	Cost-Plus-Fixed-Fee	Y	2009-06-01	2009-07-01	2013-04-30	\$20.0	*	*	*	*	*
Classified	Cost-Plus-Fixed-Fee	Y	2010-04-30	2010-05-24	2013-05-23	\$7.2	*	*	*	*	*
Classified	Cost-Plus-Fixed-Fee	Y	2010-06-11	2010-06-15	2013-06-14	\$4.5	*	*	*	*	*
Classified	Cost-Plus-Fixed-Fee	Y	2009-09-01	2009-09-27	2013-03-31	\$4.2	*	*	*	*	*
Classified	Cost-Plus-Fixed-Fee	Y	2009-02-01	2009-02-01	2013-02-12	\$12.4	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a. If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Prevent Terrorism and Promote the Nation's Security	*	*	Percentage of non-applicable files filtered prior to user visibility	25%	25%	46%
2008	Prevent Terrorism and Promote the Nation's Security	*	*	Number of technical enhancements and advances in analytical capability implemented	20	20	81
2008	Prevent Terrorism and Promote the Nation's Security	*	*	Number of files of interest found	15300	16000	10,495
2008	Prevent Terrorism and Promote the Nation's Security	*	*	Number of people trained	551	600	1,191
2009	Prevent Terrorism and Promote the Nation's Security	*	*	Percentage of non-applicable files filtered prior to user visibility	33%	33%	40%
2009	Prevent Terrorism and Promote the Nation's Security	*	*	Number of technical enhancements and advances in analytical capability implemented	20	40	58
2009	Prevent Terrorism and Promote the Nation's Security	*	*	Number of files of interest found	16000	16000	4,988,633
2009	Prevent Terrorism and Promote the Nation's Security	*	*	Number of people trained	1000	1500	5,275
2010	Prevent Terrorism and Promote the Nation's Security	*	*	Percentage of non-applicable files filtered prior to user visibility	40%	40%	37%
2010	Prevent Terrorism and Promote the Nation's Security	*	*	Number of technical enhancements and advances in analytical capability implemented	20	40	31
2010	Prevent Terrorism and Promote the	*	*	Number of files of interest found	1000000	1500000	730000

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Nation s Security						
2010	Prevent Terrorism and Promote the Nation s Security	*	*	Number of people trained	2000	2500	296
2011	Prevent Terrorism and Promote the Nation s Security	*	*	Percentage of non-applicable files filtered prior to user visibility	40%	40%	
2011	Prevent Terrorism and Promote the Nation s Security	*	*	Number of technical enhancements and advances in analytical capability implemented	20	40	
2011	Prevent Terrorism and Promote the Nation s Security	*	*	Number of files of interest found	1000000	1500000	
2011	Prevent Terrorism and Promote the Nation s Security	*	*	Number of people trained	2000	2500	

## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Implement FISA Court mandates on SMP for DWS, EDMS, and DaLAS	\$1.9	\$1.9	2008-04-08	2008-04-08	2008-11-01	2008-11-01	100.00%	100.00%
Provide FY 2009 O&M of DIVS	\$57.3	\$57.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
DIVS Phase 2 Increment 3 (Federated Search)	*	*	2011-04-01		2011-09-30		0.00%	0.00%
Provide FY 2010 O&M of DIVS	\$30.0	\$25.0	2009-10-01	2009-09-01	2010-09-30		75.00%	75.00%
DIVS Phase 2 PDR	\$1.4	\$1.4	2009-10-01	2009-10-01	2010-03-30	2010-03-30	100.00%	100.00%
Complete consolidation of DWS and EDMS, activate DWS-EDMS, shut down EDMS	\$1.5	\$1.5	2008-11-02	2008-11-02	2009-05-01	2009-05-12	100.00%	100.00%
Provide FY 2015 O&M of DIVS	*	*	2014-10-01		2015-09-30		0.00%	0.00%
Provide FY 2013 O&M of DIVS	*	*	2012-10-01		2013-09-30		0.00%	0.00%
Provide FY 2008 O&M of DIVS	\$35.6	\$35.6	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Provide FY 2011 O&M of DIVS	*	*	2010-10-01		2011-09-30		0.00%	0.00%
DIVS Phase 3 SRR (if required)	*	*	2011-10-01		2012-01-30		0.00%	0.00%
DIVS Increment 1	\$20.8	\$14.7	2010-04-01	2010-04-01	2010-09-30		50.00%	50.00%
Provide FY 2014 O&M of DIVS	*	*	2013-10-01		2014-09-30		0.00%	0.00%
DIVS Phase 2, Increment 2	*	*	2010-10-01		2011-03-30		0.00%	0.00%
DIVS, Phase 2, Systems Requirements Review	\$0.6	\$0.6	2009-07-01	2009-07-01	2009-09-29	2009-09-29	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Provide FY 2012 O&M of DIVS	*	*	2011-10-01		2012-09-30		0.00%	0.00%
DIVS Enterprise System Plan Review (complete long term planning for cost, infrastructure, ect.)	*	*	2010-10-01		2011-07-01		0.00%	0.00%

\* - Indicates data is redacted.